

1 **Huaier Polysaccharides Sensitize Anti-PD-L1 Therapy via Promoting**
2 **Antitumor Immune Response in Triple-Negative Breast Cancer**

3
4 **Running title:** Huaier Polysaccharides Amplify Anti-PD-L1 Therapy in TNBC

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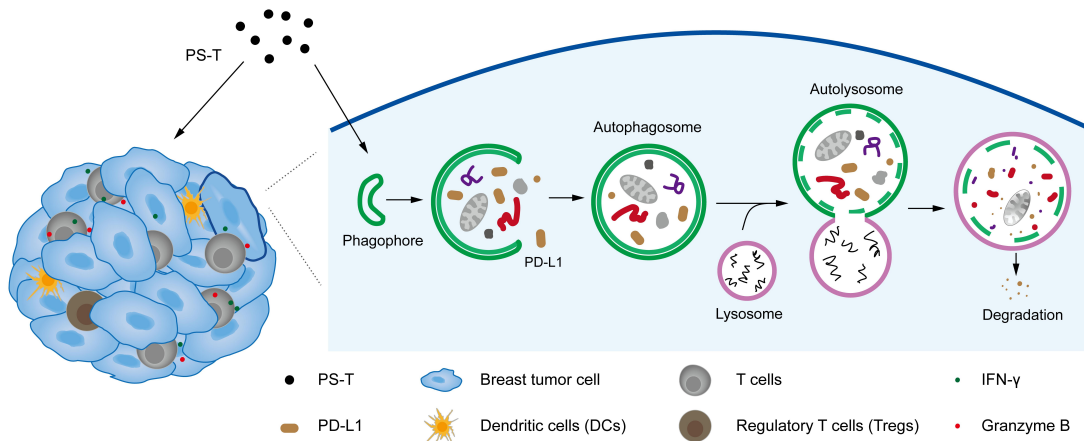
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36

37 **Abstract**

38 Triple-negative breast cancer (TNBC) is characterized by its aggressiveness and resistance to
39 conventional therapies. Although immune checkpoint inhibitors (ICIs) have shown promise in
40 cancer treatment, TNBC patients respond suboptimally to ICIs as monotherapy. Our prior
41 clinical research demonstrated that Huaier significantly improved 5-year overall survival and
42 disease-free survival when used as an adjunct to chemotherapy in TNBC patients. However,
43 whether PS-T (the primary component of Huaier granules) enhances the efficacy of ICIs
44 against TNBC and the underlying mechanisms remain unclear. In this study, we found that
45 combining anti-PD-L1 antibodies with PS-T synergistically suppressed tumor growth and cell
46 proliferation in TNBC, accompanied by enhanced antitumor immune responses.
47 Mechanistically, PS-T promoted the autophagic degradation of PD-L1, which in turn facilitated
48 the accumulation and activation of CD45+, CD3+ T, CD4+ T, CD8+ T, and dendritic cells, while
49 reducing immunosuppressive regulatory T cells, ultimately enhancing the efficacy of
50 anti-PD-L1 antibodies.

51 **Keywords** Triple-negative breast cancer; Anti-PD-L1; Huaier; Antitumor immunity; Autophagy
52

53 **Background**

54 Among all types of breast cancer, triple-negative breast cancer (TNBC) is the most
55 aggressive, known for its high metastasis rate, recurrence, and a poor prognosis [1]. This
56 malignancy lacks estrogen receptor, progesterone receptor, and human epidermal growth
57 factor receptor 2 expression, precluding endocrine and targeted therapies and making
58 chemotherapy the mainstay of treatment [2]. Despite this, only about 31% of TNBC patients
59 achieve a pathologic complete response with chemotherapy, and all metastatic TNBC patients
60 eventually develop chemoresistance [3, 4]. Consequently, there is an urgent demand for novel
61 treatment strategies to combat TNBC.

62 In the past decade, immunotherapy with immune checkpoint inhibitors (ICI) has rapidly
63 progressed as a promising therapeutic approach [5]. Its efficacy in treating various tumor has
64 been well documented [6, 7]. However, TNBC patients exhibit a lower-than-average sensitivity
65 to ICI therapy, with only approximately 20% responding to ICIs [8]. This reduced sensitivity
66 may be attributed to the lower prevalence of tumor-infiltrating immune cells in breast cancer
67 compared to other tumors types [9]. Studies have suggested that tumor immune infiltrates, T
68 cell function, and tumor mutation burden are closely associated with the responsiveness to
69 immunotherapy [10, 11]. These insights imply that increasing the presence of tumor-infiltrating
70 immune cells and boosting T cell cytotoxicity could potentially improve sensitivity to ICI
71 treatment of TNBC patients.

72 Traditional Chinese Medicine (TCM) has been recognized for its unique benefits in cancer
73 treatment [12, 13]. Huaier granules, in particular, have been employed as an adjuvant therapy
74 for various malignancies, showcasing therapeutic efficacy with excellent safety profiles and

75 minimal side effects [14, 15]. Our previous clinical study demonstrated that patients with
76 advanced TNBC who received Huaier granules in conjunction with chemotherapy experienced
77 a significant improvement in their 5-year overall survival rate (87.5% vs 67.4%) and
78 disease-free survival rate (81.3% vs 53.8%). In the experimental group, only 13 out of 101
79 patients experienced disease progression, underscoring the potential of Huaier granules in the
80 management of TNBC [16]. The primary active component of Huaier, PS-T (polysaccharides of
81 *Trametes robiniophila Murr*), has demonstrated the ability to induce apoptosis, suppress cell
82 stemness, and impede invasion and metastasis in TNBC [17-19]. Recently, it is reported that
83 PS-T may also potentiate antitumor immune response in cholangiocarcinoma and
84 hepatocellular carcinoma [20, 21]. PS-T stands out from other sensitizers by not only
85 augmenting treatment sensitivity but also exerting direct antitumor effects, such as inhibiting
86 metastasis and recurrence, while maintaining a low side effect profile [22]. Nevertheless, the
87 exact influence of PS-T on the tumor immune microenvironment in TNBC, as well as its
88 potential to sensitize the effects of ICI and the underlying mechanisms, remain to be clarified.

89 This study was undertaken to evaluate the therapeutic potential of PS-T, when in
90 conjunction with immune checkpoint inhibitors, for the treatment of TNBC, and to delve into its
91 impact and distinct mechanisms on tumor immune cell infiltration. To our knowledge, this
92 represents the first study demonstrating that PS-T triggers autophagy-dependent degradation
93 of PD-L1 and to elucidate the role of PS-T-mediated PD-L1 degradation in the enhancement of
94 anti-tumor immune responses within TNBC. Consequently, this research offers a promising
95 clinical approach against TNBC.

96

97 **Materials and Methods**

98 **Chemicals and reagents**

99 Huaier crude extract was provided by Qidong Gaitianli Pharmaceutical Co. Ltd. (Qidong,
100 China). We isolated and purified the polysaccharides (PS-T), as previously reported [18].
101 Phenol–sulfuric acid method with glucose was used to determine the purity of PS-T [23]. The
102 autophagy inhibitor 3-methyladenine (3-MA, T1879) and Chloroquine (CQ, T8689),
103 proteasome inhibitor MG132 (T2154), and anti-PD-L1 antibody atezolizumab (Ate, T9902)
104 were purchased from TargetMol (Shanghai, China).

105 PD-L1 (DF6526), p62 (AF5384), Beclin-1 (AF5128), ATG5 (DF6010) and β -actin (AF7018)
106 antibodies were from Affinity Biosciences (Cincinnati, OH, USA). Anti-PD-L1 (NBP1-43262),
107 LC3 (NB100-2220), CD4 (NBP1-19371), CD8 (NB200-578), FoxP3 (NB100-39002) and Ki67
108 (NB110-89717) antibodies were from Novus Biologicals (Littleton, CO, USA). Anti-PD-L1
109 (#13684) and anti-rabbit (#7074) antibodies were from Cell Signaling Technology (Danvers,
110 MA, USA). FITC-conjugated anti-rabbit IgG (E031220) and Cy3-conjugated anti-rat IgG
111 (E031640) were from EarthOx Life Sciences (Millbrae, CA, USA).

112 The following antibodies for flow cytometry were from BioLegend (San Diego, CA, USA):
113 anti-CD274 (APC, 329708), anti-CD274 (APC, 124312), anti-CD45 (PerCP-CY5.5, 147706),
114 anti-CD45 (APC-Cy7, 109824), anti-CD3 (PE, 100206), anti-CD4 (APC-Cy7, 100414),
115 anti-CD8a (FITC, 100706), anti-granzyme B (PE-Cy7, 396410), anti-IFN- γ (APC, 505810),
116 anti-MHC-II (PerCP-CY5.5, 116416), anti-CD11c (APC, 117310), anti-PD-1 (PE-Cy7, 135216),
117 and anti-LAG-3 (RB705, 756906). Anti-FoxP3 (PerCP-CD5.5, 563902), anti-CD8 (RB545,

118 569278), and anti-TIM-3 (PE Dazzle 594, 119747) was purchased from BD Biosciences
119 (Pasadena, CA, USA).

120 **Xenograft experiments**

121 BALB/c mice (5–6 weeks, 18–20 g, female) were provided by Byrness Weil Biotech, Ltd.
122 The Laboratory Animal Welfare and Ethics Committee of the Army Medical University has
123 approved all *in vivo* experiments. Mice were randomly assigned to different groups. 1×10^6 4T1
124 cells were injected into their mammary fat pads. The next day, the mice were separately
125 treated with 100 μ L isotonic sodium chloride solution and 3 mg PS-T solution by oral
126 administration every other day. For anti-PD-L1 treatment, 200 μ g atezolizumab was
127 intraperitoneally administered once a week, either singly or in combination with PS-T. For mice
128 requiring autophagy inhibitor treatment, 150 μ L of 300 μ g 3-MA solution was injected
129 intraperitoneally every three days. The drug dosages and administration methods were based
130 on previous literature for optimal consistency [24, 25]. From the fifth day, we measured tumor
131 volumes every two days. Mice were euthanized 21 days later, and their tumors and spleens
132 were dissected for subsequent experiments.

133 **Single-cell RNA-sequencing and analysis**

134 The excised xenograft tumors were from mice at 21 days post-inoculation, and carefully
135 processed into a single-cell suspension. The suspension was then subjected to the 10x
136 Genomics Chromium instrument to generate Gel Bead-in-emulsion (GEMs), with each cell
137 being loaded into a separate GEM for subsequent processing. To ensure comprehensive

138 coverage, we performed sequencing with an average depth of 5,000 reads per cell, which
139 facilitated robust detection of gene expression levels across the individual cells. Following the
140 generation of GEMs, these were transferred to a PCR instrument for reverse transcription,
141 converting the RNA into complementary DNA (cDNA). The cDNA underwent purification,
142 amplification, fragmentation, end-repair, and A-tailing before being ligated with the Read2
143 sequencing primer. This process resulted in the construction of a cDNA library equipped with
144 P5 and P7 connexons, which was then sequenced in accordance with the Illumina User Guide.
145 The sequencing was carried out in Outdo Biotech Co., Ltd. (Shanghai, China).

146 To address batch effects and ensure data consistency, we took several measures. First,
147 we included internal control samples in each sequencing batch to monitor and calibrate the
148 sequencing process. Second, we employed batch correction techniques during data
149 preprocessing, using the Harmony algorithm within the CellRanger_V5.0.0 software suite to
150 harmonize the data across different batches. This approach helped to mitigate technical
151 variations and batch-specific biases, providing a more accurate representation of the biological
152 signals. Data comparison, gene quantification, and cell identification were executed utilizing
153 CellRanger_V5.0.0 software, applying stringent filtering thresholds for each sample. These
154 criteria included UMI counts $\leq 27,009$, gene number per cell ranging from 200 to 5,368,
155 mitochondria content $\leq 20\%$, and ribosome distribution $\leq 57.5\%$. After filtering, the data was
156 preprocessed and normalized to facilitate comparative analysis. Subsequent cluster analysis
157 was performed on the normalized dataset using the Louvain algorithm, while the UMAP
158 algorithm was employed for data visualization. Furthermore, Gene Set Variation Analysis
159 (GSVA) was applied to explore the functional attributes of the cells.

160 **Cell lines, plasmid construction, and transfection**

161 MDA-MB-231, 4T1, and HEK293T cells were obtained from FuHeng Cell Center
162 (Shanghai, China). Human breast cancer MDA-MB-231 cells were cultured in complete L-15
163 medium. Complete RPMI 1640 was used to grow murine breast cancer 4T1 cells.

164 *ATG5* knockdown MDA-MB-231 and 4T1 cells were constructed as previously described
165 [19]. Briefly, *ATG5* siRNA (human: 5-TCAGCTCTTCCTTGGAACATCACAGTACA-3, mouse:
166 5-GCUUCGAGAUGUGUGGUUUTT-3) was subcloned into the pLVX-ShRNA2-Puro plasmid,
167 and plasmids were transfected into HEK293T cells with psPAX2 and pMD2G plasmids using
168 Lipofectamine 3000 (Invitrogen) for virus packaging. Then MDA-MB-231 or 4T1 cells were
169 infected with *ATG5* knockdown lentivirus for 48 h (MOI: 10 for MDA-MB-231 and 4T1) and
170 positive cells were selected with puromycin (1 $\mu\text{g}/\text{mL}$ for MDA-MB-231, 3 $\mu\text{g}/\text{mL}$ for 4T1).
171 Transfection efficiency was determined by western blotting and immunocytochemistry as
172 shown in Fig. S12.

173 **Flow cytometry**

174 Cells were treated with equal volumes of drugs or dimethyl sulfoxide for 24 h. After diluted
175 to 1×10^5 cells/mL, the cells were blocked in 2% normal mouse serum (36118ES03, Yeasen) for
176 20 min, then incubated with antibodies for 30 min at 4°C in the dark. For tumor and spleen
177 tissues, single-cell suspensions were prepared according to the previous literature [26]. Briefly,
178 tissues were dissected from mice and digested in an L-15 digestion medium containing 1
179 mg/mL collagenase IV (2091, BioFroxx), 50 U/mL DNase I (1121, BioFroxx), and 5 mM CaCl_2
180 (C4901, Sigma-Aldrich) on a shaker at 24°C for 1 h. The solution was filtered through a 70 μm

181 cell strainer (352350, Corning). Red Blood Cell Lysis Buffer (R1010, Solarbio) was used to lyse
182 erythrocytes. The flow cytometry experiments were performed using a BD LSRFortessa and
183 NovoCyte flow cytometer, and the data were analyzed using the FlowJo_V10 software.

184 **Western blotting**

185 Cells were treated with the drugs for 24 h, followed by trypsin digestion and lysis for
186 protein extraction. Proteins were isolated and then transferred onto a polyvinylidene difluoride
187 membrane (GVWP02500, Millipore). The blocking buffers (P0252, Beyotime) were used to
188 block the membranes for 30 min at 24°C. Next, the membranes were incubated with the
189 primary antibody at 4°C overnight and the secondary antibody for 1 h at 24°C. The protein
190 bands were visualized using a Gel Doc TMXR+ System (Bio-Rad) and normalized to the
191 reference protein (β -actin).

192 **Immunocytochemistry**

193 Cells (1×10^5) were cultured on coverslips in 24-well plates and treated with drugs for 24 h.
194 After washing thrice, the cells were fixed in 4% paraformaldehyde (BL539A, Biosharp) for 15
195 min. Permeabilizing was performed with Triton X-100 (1139, BioFroxx) for 20 minutes. Next,
196 we blocked the cells with normal goat serum (ZLI-9022, ZSGB-BIO) for 1 h. Primary and
197 fluorescently conjugated secondary antibodies were each incubated for 1 h at 24°C in the dark.
198 Cell slides were sealed with an antifade mounting medium containing DAPI (P0131, Beyotime)
199 and observed under an AX10 IMAGER A2 fluorescence microscope. The mean fluorescence
200 was evaluated using ImageJ software.

201 **Immunohistochemistry (IHC) staining**

202 Patient samples were obtained from the Breast Cancer Center and the Department of
203 Pathology, Southwest Hospital, Army Medical University (Chongqing, China), with strict
204 adherence to ethical guidelines and informed consent procedures. A total of 20 TNBC patients
205 diagnosed between 2015 and 2019 were included in this study. All patients were
206 treatment-naïve at the time of sample collection, with no prior exposure to chemotherapy,
207 radiotherapy, immunotherapy, or targeted therapy before surgical resection.
208 Clinicopathological characteristics, including age, tumor size, lymph node status, histological
209 grade, and Ki67 index, were collected from medical records and are summarized in Table S1.

210 Immunohistochemistry (IHC) staining was performed using the SP kit (PV-9000,
211 ZSGB-BIO), following the instructions. The IHC staining intensity (SI) was scored as 0,
212 negative; 1, weakly positive; 2, positive; and 3, strongly positive, and the percentage of
213 positive cells (PP) was counted as 0, <5%; 1, 6–25%; 2, 26–50%; 3, 51–75%; and 4, >75%.
214 The final IHC staining score was calculated by multiplying the SI with the PP. All IHC scoring
215 was performed independently by two pathologists who were blinded to the experimental group
216 allocation and patient clinical information. Discrepancies between the two scorers were
217 resolved by consensus discussion with a third investigator. The mean fluorescence was
218 measured using the ImageJ software. Based on the IHC staining scores, the patient samples
219 were stratified into LC3 low-expression (scores ≤ 8) and high-expression (scores > 8) groups.

220 **Statistical analysis**

221 All data are expressed as the mean \pm standard deviation. For comparison of two groups,

222 Student's t-test was used if the variance is homogeneous, Mann–Whitney U test was used if
223 the variance is not homogeneous. For pair-wise comparisons, one-way analysis of variance
224 and the post-hoc Bonferroni test was used. For correlation analysis, Pearson correlation test
225 was used. Values of $P < 0.05$ was considered significant. GraphPad Prism 8.0 was used for
226 statistical analysis.

227

228 **Results**

229 **PS-T potentiates the therapeutic efficacy of anti-PD-L1 antibodies in TNBC via** 230 **activating immune cells**

231 In order to investigate the synergistic effect of anti-PD-L1 antibodies and PS-T, we
232 constructed 4T1 mouse breast cancer models and treated the mice with indicated drugs. PS-T
233 did not induce apparent drug-induced acute toxicity in Balb/c mice (Fig. S1). The combination
234 of atezolizumab (Ate) and PS-T exhibited enhanced inhibition on tumor growth and cell
235 proliferation, compared to that seen in the single administration group (Fig. 1A-B). We then
236 performed single-cell RNA sequencing on the xenograft tumors (Fig.1C). Transcriptomes of
237 23193, 33703, 23430, and 23900 cells were obtained in the control, PS-T, Ate, and
238 Ate+PS-T–treated tumors, respectively (Fig.1D). Six major cell types were identified, including
239 cancer cells, T cells, myeloid cells, B cells, fibroblasts, and endothelial cells (Fig. 1E). The
240 distribution of the cell types within the tumors is shown in Fig. 1F. GSVA enrichment of cancer
241 cells suggested that PS-T stimulates IFN- α and IFN- γ response, and promotes complement,
242 inflammatory response, TNF- α /NF- κ B, and IL-2/STAT5 signaling, exhibiting an activation of

243 antitumor immunity in TNBC (Fig. S2). Besides, we noticed a dynamic alteration in the
244 Col13a1+ and Col14a1+ fibroblast populations across the treatment groups. PS-T treatment
245 led to a prevalence of Col14a1+ fibroblasts, whereas Col13a1+ fibroblasts were more
246 abundant in the control and Ate-treatment groups (Fig. S3A-D). Although the less pronounced
247 proportional change than other cell types, endothelial-mediated enhancement of tumor
248 immunity was observed following PS-T treatment (Fig. S3E-H).

249 Due to the elevated tumor-infiltrating immune cells contributes to better prognosis for
250 TNBC tumors [27, 28], we next investigated the role of immune cells in PS-T-mediated
251 enhancement of antitumor effect of anti-PD-L1 antibodies, and observed significant changes in
252 the proportion of immune cells after Ate and PS-T treatment (Fig. 1F). The percentage of
253 intratumoral T, NK, CD4⁺T, CD8⁺T, CD8⁺T-intermediate, and CD8⁺T-proliferation cells
254 increased after PS-T treatment (Fig. 2A-B and S4A-E). GSEA analysis showed activation of
255 multiple immune-related pathways in T cells, including TNF- α /NF- κ B, Notch, IFN- α , IFN- γ , and
256 IL-2/STAT5 signaling, complement, and inflammatory response (Fig. S4F). Moreover, Ate and
257 PS-T combination treatment increased the number of CD4⁺ and CD8⁺ T cells, while decreasing
258 the number of FoxP3⁺ Tregs compared to the single-agent groups (Fig. 2C and S4G-H).
259 Additionally, PS-T significantly increased the proportion and immunological activity of
260 intratumoral myeloid cells, whether or not it was combined with anti-PD-L1 antibodies (Fig.
261 S5A-C). The clustering and GSEA results revealed that PS-T treatment diminished the
262 immunosuppressive myeloid-derived suppressor cells (MDSCs), and activated the
263 immunoactivating dendritic cells (DCs) (Fig. S5D-G).

264 **PS-T reverses the immunosuppressive microenvironment in TNBC**

265 We next profiled the tumor infiltrating immune cells by flow cytometry. The gating strategy
266 for flow cytometry analysis is illustrated in Fig. S6. In PS-T-treated group mice, a significantly
267 higher number of intratumoral CD45⁺ cells was observed compared to the control group (Fig.
268 3A). Besides, antitumor T cell immune responses were detected, marked by an increase in
269 CD3⁺ T cells, CD4⁺ T cells, CD8⁺ T cells, and MHC-II⁺ CD11c⁺ DCs, along with a decrease in
270 CD4⁺ FoxP3⁺ Tregs (Fig. 3A). Immune cells within spleen tissue were then examined to assess
271 whether PS-T treatment could impact peripheral immune organs. As depicted in Fig. 3B, the
272 changes of immune cells in the spleen exhibited similar results to those in the tumor.

273 In addition to frequency of immune cells, we also investigated whether PS-T could
274 enhance T cell function. The percentage of activated cytotoxic T cell subgroups (granzyme B⁺
275 CD8⁺ T, IFN- γ ⁺ CD8⁺ T, and IFN- γ ⁺ CD4⁺ T) was markedly elevated in breast tumors and
276 spleens of PS-T-treated mice (Fig. 3C-D and S7). To further characterize the functional status
277 of tumor-infiltrating T cells, we assessed the expression of exhaustion markers PD-1, LAG-3,
278 and TIM-3 on CD8⁺ T cells. As shown in Fig. S8, PS-T treatment significantly reduced the
279 frequency of PD-1⁺LAG-3⁺ and PD-1⁺TIM-3⁺ CD8⁺ T cells compared to the control group,
280 indicating that PS-T alleviates T cell exhaustion. These findings suggest that PS-T not only
281 enhances T cell activation but also mitigates T cell exhaustion, thereby contributing to the
282 enhanced antitumor immune response in TNBC.

283 **PS-T promotes autophagic degradation of PD-L1 in TNBC**

284 Given that PS-T treatment promoted cancer cell-mediated immune response and
285 reversed the immunosuppression of the tumor microenvironment, thereby enhancing the

286 inhibitory effects of anti-PD-L1 antibodies on TNBC (Fig. S2), we investigated the changes in
287 PD-L1 expression following *in vivo* treatment with PS-T. In the 4T1 mouse breast cancer
288 xenografts, the mean fluorescence intensity (MFI) of PD-L1 diminished after PS-T
289 administration (Fig. 4A). Histological examination of the tumor tissues revealed a significant
290 suppression of PD-L1 protein expression post-PS-T treatment (Fig. 4B-C). Subsequently, we
291 verified the downregulation of PD-L1 protein levels by PS-T *in vitro*. In both MDA-MB-231 and
292 4T1 cells, PD-L1 expression was inhibited by PS-T in a dose-dependent manner (Fig. 4D-G).
293 To exclude the possibility that the observed reduction in PD-L1 expression was attributable to
294 non-specific cytotoxicity, we assessed cell viability in MDA-MB-231 and 4T1 cells treated with
295 PS-T at concentrations of 0, 5, and 10 $\mu\text{g}/\text{mL}$ using CCK-8 assays. As shown in Fig. S9, PS-T
296 did not induce significant cytotoxicity at these concentrations, confirming that the
297 dose-dependent downregulation of PD-L1 reflects specific biological effects rather than
298 general toxicity.

299 To explore the mechanisms by which PS-T downregulates PD-L1 expression, we
300 performed RNA sequencing of TNBC cells treated with or without PS-T. However, there was no
301 statistical differences in PD-L1 (*CD274*) transcript levels, suggests that PS-T modulates PD-L1
302 protein expression but not at the transcription level (Fig. S10). It is widely recognized that
303 autophagy modulates PD-L1 expression [29, 30]. We previously demonstrated that PS-T could
304 significantly induce autophagy [19]. In this study, we confirmed that PS-T reduced PD-L1 and
305 p62 protein expression while enhancing the expression of key autophagy proteins, including
306 ATG5, Beclin-1, and LC3 (Fig. 5A). To investigate the role of autophagy in PD-L1 degradation
307 induced by PS-T, we observed the immunofluorescence staining of LC3 and PD-L1 using

308 confocal microscopy. The results revealed that PD-L1 protein was degraded following PS-T
309 treatment and co-localized with the LC3 protein (Fig. 5B). Immunohistochemistry analysis
310 using 4T1 xenograft verified the upregulation of LC3 expression and downregulation of PD-L1
311 expression *in vivo* due to PS-T treatment, revealing a significant correlation between their
312 expression ($R^2 = 0.6319$, $P = 0.0020$) (Fig. 5C and S11A). Moreover, PD-L1 protein levels
313 were found to be low in patients whose tumors exhibited high levels of LC3 expression ($R^2 =$
314 0.8800 , $P = 0.0056$) (Fig. 5D-E and S11B). In addition, we recognized that intracellular protein
315 degradation is primarily mediated by two major pathways: the ubiquitin-proteasome pathway
316 and the autophagy-lysosome pathway [31]. Western blotting with proteasome inhibitor MG132
317 did not show increased PD-L1 level PS-T-treated cells, indicating that PS-T downregulates
318 PD-L1 independently of the ubiquitin-proteasome pathway (Fig. 5F).

319 **Autophagy inhibition reverses the PS-T-induced activation of antitumor immunity in** 320 **TNBC**

321 We next used the upstream autophagy inhibitor 3-methyladenine (3-MA), lysosome
322 inhibitor chloroquine (CQ), or knocked down the autophagy-related gene *ATG5* to inhibit
323 autophagy in TNBC cells for subsequent experiments. The expression of *ATG5* and LC3 was
324 notably reduced in both siATG5-4T1 and siATG5-MDA-MB-231 cell lines (Fig. S12).
325 Consistent with previous results, PS-T substantially lowered PD-L1 expression levels.
326 However, the degradation was significantly reduced by inhibition of autophagy (Fig. 6A-L and
327 S13).

328 To ascertain the role of autophagic PD-L1 degradation induced by PS-T in modulating

329 tumor immune cell infiltration, we intraperitoneally administered 3-MA to 4T1 tumor-bearing
330 mice to inhibit autophagy (Fig. 6M). Existing literature confirms that 3-MA does not impact
331 breast tumor growth when used alone [32]. Our data showed that 3-MA considerably reduced
332 the PS-T–induced accumulation of CD45⁺, CD3⁺, CD4⁺ T, and CD8⁺ T cells and DCs, as well
333 as the suppression of Treg infiltration (Fig. 6N-O). Moreover, PS-T treatment activated
334 cytotoxic CD4⁺ and CD8⁺ T cells in breast tumors, with this activation being markedly
335 restrained upon autophagy inhibition (Fig. 6P).

336 **Autophagic degradation of PD-L1 is associated with antitumor responses in TNBC** 337 **patients**

338 A total of 20 TNBC patients were enrolled in this study. All patients were treatment-naïve
339 and underwent surgical resection without prior neoadjuvant therapy. The median age was 50.5
340 years (range, 28-78 years). Detailed clinicopathological characteristics, including tumor size,
341 lymph node status, histological grade, and Ki67 index, are provided in Table S1.

342 To investigate the association between autophagy and antitumor immunity, the enrolled
343 patients were divided into two groups based on LC3 protein expression levels. Our findings
344 revealed that high LC3 expression was associated with notably lower PD-L1 levels (Fig. 7A).
345 Furthermore, the high-LC3 group exhibited a significant increase in the infiltration of CD4⁺ and
346 CD8⁺ T cells, whereas the number of FoxP3⁺ regulatory T cells was markedly decreased
347 compared with the low-LC3 group (Fig. 7A). In addition, multicolor immunofluorescence
348 staining was performed to further validate these observations, and the results confirmed that
349 autophagic degradation of PD-L1 was positively correlated with enhanced antitumor immunity

350 in TNBC (Fig. 7B).

351

352 **Discussion**

353 Previous studies have demonstrated that polysaccharides derived from Traditional
354 Chinese Medicine (TCM) possess robust immunomodulatory properties and exert antitumor
355 effects [33-36]. Among them, PS-T, the principal anticarcinogenic constituent of Huaier, has
356 demonstrated efficacy in the treatment of various tumors, including gastric, liver, and breast
357 cancer [16, 37-39]. Despite existing research highlighting the stimulatory effect of PS-T on
358 antitumor immunity, an understanding of its potential to enhance the sensitivity to
359 immunotherapy and the underlying molecular mechanisms remains elusive. In this study, we
360 performed single-cell sequencing alongside *in vitro* and *in vivo* experiments to demonstrate
361 that PS-T could reverse the tumor immunosuppressive microenvironment and augment the
362 efficacy of anti-PD-L1 antibodies in TNBC (Fig.1-2). The underlying mechanism involves PS-T
363 enhancing the abundance and function of immune cells by autophagy-dependent degradation
364 of PD-L1 in TNBC (Fig.3-7).

365 Lymphocytes are integral to the tumor microenvironment, with CD4⁺ T cells coordinating
366 the immune response, CD8⁺ T cells (also known as cytotoxic T cells, CTL) executing the
367 destruction of tumor cells through cytokines secretion and the release of cytotoxic particles
368 containing perforin and granzymes [40], and Tregs contributing to tumor immune escape [41].
369 In consonance with prior studies, our data revealed that PS-T promote CD4⁺ T and CD8⁺ T
370 cells infiltration into the tumor and splenic microenvironment while inhibiting Tregs.
371 Furthermore, we observed a significant increase in the proportion of cytotoxic CD4⁺ and CD8⁺

372 T cells, suggesting that PS-T activates T cells in TNBC (Fig. 2-3 and S4). In addition to
373 promoting T cell activation, our data revealed that PS-T also alleviates T cell exhaustion, as
374 evidenced by reduced expression of exhaustion markers including PD-1, TIM-3, and LAG-3 on
375 tumor-infiltrating CD8⁺ T cells (Fig. S8). These findings highlight the potential of PS-T to
376 reshape the T cell functional landscape in TNBC, promoting a more robust and sustained
377 antitumor response.

378 Interestingly, the impact of PS-T on CD8⁺ T cells is still a matter of debate. Some argue
379 that CD8⁺ T cell counts do not significantly rise following PS-T treatment [21, 42], possibly due
380 to variations in gate strategies and final statistical indicators (Fig. S14). In our view, the cell
381 proportion in tissues is a more reliable indicator of the immune system's particular status,
382 rather than the percentages in T lymphocytes. Our data corroborate this perspective.
383 Regarding Myeloid cells, which are the most abundant cell type in breast tumors, accounting
384 for more than half of the tumors (Fig. 1F), we found that PS-T significantly decreased the
385 counts of MDSCs in TNBC tumor-bearing mice and fostered the infiltration and activation of
386 DCs (Fig. 3A-B and S5D-G). These findings suggest that PS-T could potentiate antitumor
387 immune response in TNBC.

388 Additionally, We further explored the effects of PS-T on non-immune stromal cells. In
389 agreement with the findings of Chen et al., PS-T reduced the number of intratumoral
390 fibroblasts and those transitioning into myofibroblasts [43]. We observed distinct shifts in
391 fibroblast populations after PS-T treatment: Col13a1⁺ fibroblasts decreased significantly, while
392 Col14a1⁺ fibroblasts increased, reversing the prevalence pattern seen in controls (Fig. S3A-D).
393 The functional implications of these fibroblast subset shifts warrant further consideration.

394 Col13a1, a member of the fibrillar collagen family, has been implicated in extracellular matrix
395 remodeling and tumor progression. Conversely, Col14a1, which encodes a fibril-associated
396 collagen with interrupted triple helices, has been associated with more organized extracellular
397 matrix architecture and may facilitate immune cell infiltration. The observed PS-T-induced shift
398 from Col13a1+ to Col14a1+ fibroblasts therefore may represent a functional transition toward
399 a more immune-permissive stromal microenvironment. This phenotypic switch could contribute
400 to the enhanced antitumor immune responses observed in our study by reducing physical
401 barriers to immune cell infiltration and modulating stromal-derived immunosuppressive signals.
402 Notably, the proportion of Col14a1+ fibroblasts increased specifically in the PS-T-treated
403 groups, suggesting that this subset may play a supportive role in T cell recruitment or
404 activation. While our current data do not establish a direct causal relationship between
405 fibroblast subset shifts and immune remodeling, these findings provide a foundation for future
406 mechanistic studies exploring how PS-T reprograms the stromal compartment.

407 PD-L1 is a vital immune checkpoint protein and a pivotal immunomodulator [44]. PD-L1
408 expressed on tumor cells can inhibit T cell cytotoxicity and contribute to tumor immune evasion
409 by binding to PD-1, thus leading to limited sensitivity to immunotherapies in tumors [45, 46].
410 Our results indicate that PD-L1 protein expressing on tumor cells was downregulated following
411 PS-T treatment (Fig. 4). To uncover how PS-T downregulate PD-L1 protein, we reviewed the
412 literatures and noted that protein degradation mainly occurs through two systems: the
413 proteasome and lysosomal-autophagy system [47]. By using proteasome inhibitor MG132, we
414 determined that PS-T does not degrade PD-L1 through the proteasome system (Fig. 5F).
415 Besides, it has been reported that PS-T enhances autolysosome formation and

416 autophagosome-lysosome fusion [48], and our data confirmed that PS-T promotes
417 autophagy-dependent degradation of PD-L1 (Fig. 5A-E). PS-T has been shown to regulate the
418 expression of LC3, ATG5, and Beclin-1, as well as the p62/SQSTM1 and MAPK/NF- κ B
419 pathways [19, 49, 50]. Consequently, we posit that PS-T affects autophagy through multiple
420 pathways, warranting further investigations to delineate the specific mechanisms by which
421 PS-T promotes autophagy.

422 Interestingly, although PS-T significantly altered the abundance of myeloid cells, it did
423 not significantly affect PD-L1 expression on these cell populations (Supplementary Table S2).
424 This observation suggests that the immunomodulatory effects of PS-T on myeloid cells are
425 likely mediated through mechanisms independent of PD-L1 regulation, such as promoting DC
426 maturation and reducing MDSC accumulation as demonstrated in our scRNA-seq and flow
427 cytometry data. The cell-type-specific effect of PS-T on PD-L1 expression, downregulating
428 PD-L1 primarily in cancer cells rather than in immune cells, may be advantageous for
429 combination immunotherapy, as it selectively reduces tumor cell-mediated immune evasion
430 while preserving the physiological PD-L1 expression on antigen-presenting cells required for
431 maintaining immune homeostasis. Future studies employing conditional knockout models or
432 lineage-specific PD-L1 deletion could further elucidate the distinct contributions of cancer
433 cell-intrinsic versus myeloid cell-intrinsic PD-L1 to the therapeutic efficacy of PS-T in
434 combination with immune checkpoint blockade.

435 In this study, we report a novel finding that PS-T induces autophagic degradation of PD-L1
436 in TNBC cells (Fig. 5). The effect of PS-T on PD-L1 level was reversed when autophagy was
437 inhibited using pharmacological inhibitors or small interfering RNA (Fig. 6). Our findings

438 suggest that PS-T promotes the autophagic degradation of PD-L1, leading to the accumulation
439 and activation of DCs, CD4⁺, and CD8⁺ T cells, and reducing the infiltration of Tregs (Fig. 3-5).
440 Moreover, *in vivo* experiments demonstrated that the addition of an autophagy inhibitor
441 mitigated the PS-T-mediated regulation of T cell immunity (Fig. 6M-P), which was further
442 validated in TNBC patients (Fig. 7).

443

444 **Conclusion**

445 PS-T enhances the antitumor immune response against TNBC by inducing
446 autophagy-mediated degradation of PD-L1, thereby boosting the effectiveness of anti-PD-L1
447 antibody treatment. Our study establishes a compelling basis for future research, which should
448 include validating these findings in patient-derived xenografts and organoid models to bridge
449 the gap between preclinical observations and clinical applications. Such validations are crucial
450 for assessing the therapeutic potential of PS-T and could inform the design of clinical trials for
451 this novel intervention in TNBC treatment.

452

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459 **Author contributions**

460 Conceptualization: HZ, LMH, and MHW; Methodology: LXZ, ZWW, YT, KFL, YYX, and HZ;
461 Data analysis: LXZ, YT, QWP, PT, LJZ, LZ, JJ, and YZ; Writing—original draft preparation: LXZ

462 and ZWW; Writing—reviewing and editing: HZ, LMH, and MHW; All authors have read and
463 agreed to the published version of the manuscript.

464 **Availability of data and materials**

465 Additional Figures and associated Figure legends are provided in the supplementary text
466 and are available online with the paper. The raw data of RNA sequencing has been uploaded
467 to the GEO database [Accession No. GSE253683].

468 **Declarations**

469 **Ethics approval and consent to participate**

470 All experiments involving animals were performed in accordance with the institutional
471 guidelines for the care and use of animals and were approved by the Laboratory Animal
472 Welfare and Ethics Committee of Army Medical University, China (SYXK-20170002).
473 Collection and analysis of human samples and clinical data was approved by the Ethics
474 Committees of Southwest Hospital of The Army Medical University, China (KY2021118).

475 **Consent for publication**

476 Not applicable.

477 **Competing interests**

478 The authors declare no conflict of interest.

479

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620

621 **Figure legends**

622 **Fig. 1. PS-T enhances the treatment efficacy of anti-PD-L1 antibodies in TNBC**

623 **(A)** 4T1 tumor-bearing mice were photographed after treatment with isotonic sodium chloride
624 solution (control), PS-T (3 mg, every two days), or atezolizumab (200 µg, once a week) alone
625 or in combination with both (n=10). Tumor volume and weight were measured and analyzed
626 statistically and are presented as a histogram (*bottom*). **(B)** Representative
627 immunohistochemical staining for Ki67 in mouse breast tumor tissues under different
628 conditions (n=6). Arrows indicate Ki67-positive cells (brown nuclear staining). Scale bars = 50
629 µm. **(C)** Schematic representation of the scRNA-seq experimental protocol. **(D)** UMAP
630 clustering of 23 subgroups. **(E)** UMAP plot of 6 subsets after cell annotation. **(F)** The cell type
631 distribution across four groups of tumor tissues. (mean ± standard deviation; ** $P < 0.01$; *** $P <$
632 0.001).

633

634 **Fig. 2. PS-T promotes the infiltration and activation of T cells mediated by anti-PD-L1**

635 **treatment**

636 **(A)** UMAP clustering of tumor-infiltrating T cells identified 6 clusters (Treg, NK, CD4+T, CD8+T,
637 CD8+T-intermediate, and CD8+T-proliferation). **(B)** The ratio (*left*) and number (*right*) of T cell
638 subsets. **(C)** Representative images of CD4⁺ and CD8⁺ T cells and FoxP3⁺ Tregs in mice
639 treated with PS-T, anti-PD-L1 antibody, and a combination of both. The histogram (*right*)
640 depicts the number of positive cells in each group (n=6). Scale bars = 50 µm. The data were
641 combined based on two independent experiments. (mean ± standard deviation; ** $P < 0.01$;

642 *** $P < 0.001$).

643

644 **Fig. 3. PS-T affects the tumor immune microenvironment in TNBC**

645 **(A-B)** Representative plots (*up*) of CD45⁺, CD3⁺ T, CD4⁺ T, and CD8⁺ T cells as well as
646 regulator T cells (Tregs) and dendritic cells (DCs) in the tumor (A) and spleen tissue (B) of 4T1
647 mice treated with or without PS-T. The proportions are shown in the statistic graph (*bottom*)
648 (n=10). **(C-D)** The proportions of IFN- γ ⁺ and granzyme B⁺ cells in tumor-infiltrating CD4⁺ T and
649 CD8⁺ T cells in the breast tumor (E) and spleen (F) (n=10). The data were combined based on
650 two independent experiments. (mean \pm standard deviation; ** $P < 0.01$; *** $P < 0.001$).

651

652 **Fig. 4. PS-T downregulates PD-L1 expression in TNBC**

653 **(A-C)** The expression of PD-L1 in the breast cancer tissue of mice treated with or without PS-T
654 was determined by flow cytometry (A) (n=9), immunohistochemical staining (B), and
655 immunofluorescence staining (C) (n=6). Scale bars = 50 and 25 μm . **(D-E)** Western blotting (D)
656 (n=3) and flow cytometry analysis (E) (n=9) of 0, 5, and 10 $\mu\text{g/mL}$ treated 4T1 and
657 MDA-MB-231 cells. **(F-G)** Representative fluorescent micrographs of PD-L1 stained 4T1 (F)
658 and MDA-MB-231 cells (G) (n=9). The data were combined based on three (A, D-G) or two
659 independent experiments (B-C). (mean \pm standard deviation; * $P < 0.05$; ** $P < 0.01$; *** $P <$
660 0.001).

661

662 **Fig. 5. PS-T regulates PD-L1 expression by inducing autophagy in TNBC**

663 **(A)** Expression levels of PD-L1 and autophagy-related markers in MDA-MB-231 (*left*) and 4T1
664 cells (*right*) treated with 5 µg/mL PS-T for 24 h (n=3). The grayscale levels were quantified using
665 ImageJ software and calculated relative to β-actin levels. **(B)** The colocalization of LC3 and
666 PD-L1 in MDA-MB-231 (*up*) and 4T1 cells (*down*) treated with or without PS-T was analyzed
667 by immunofluorescence staining (n=9). Scale bars = 10 µm. **(C)** Representative images of LC3
668 (*left*) and PD-L1 (*right*) protein expression in the control and PS-T-treated mice (n=6). Scale
669 bars = 50 µm. **(D-E)** The levels of LC3 (*left*) and PD-L1 (*right*) in TNBC patients were assessed
670 by immunohistochemistry (n=3) (D) and immunofluorescence (n=10) (E). Scale bars = 50 µm.
671 **(F)** The expression of PD-L1 in MDA-MB-231 (*up*) and 4T1 cells (*down*) treated with PS-T
672 and/or MG132 (n=3). The data were combined based on three (A-B, D, F) or two independent
673 experiments (C, E). (mean ± standard deviation; **P* < 0.05; ***P* < 0.01; ****P* < 0.001).

674

675 **Fig. 6. Autophagy inhibition reverses the PS-T-induced activation of PD-L1-mediated T**
676 **cell antitumor responses**

677 **(A-D)** Expression of PD-L1 and LC3 in MDA-MB-231 and 4T1 cells that autophagy was
678 inhibited by 3-MA (5 mM) (A-B) and siATG5 (C-D) (n=3). **(E-H)** The levels of PD-L1 protein
679 were examined by flow cytometry (n=9). **(I-L)** Confocal microscopy images of TNBC cells
680 stained with PD-L1 (*red*) and LC3 (*green*). The MFI was quantified to indicate protein levels
681 (*right*). Scale bars = 10 µm. **(M)** Mice were injected with 1×10⁶ 4T1 cells, randomly allocated
682 into four groups, orally treated with 100 µL isotonic sodium chloride solution (control), 3 mg
683 PS-T solution (PS-T) every two days, intraperitoneally administered with 150 µL of 300 µg
684 3-MA solution (3-MA) every three days and co-treated with PS-T and 3-MA (3-MA+PS-T). The

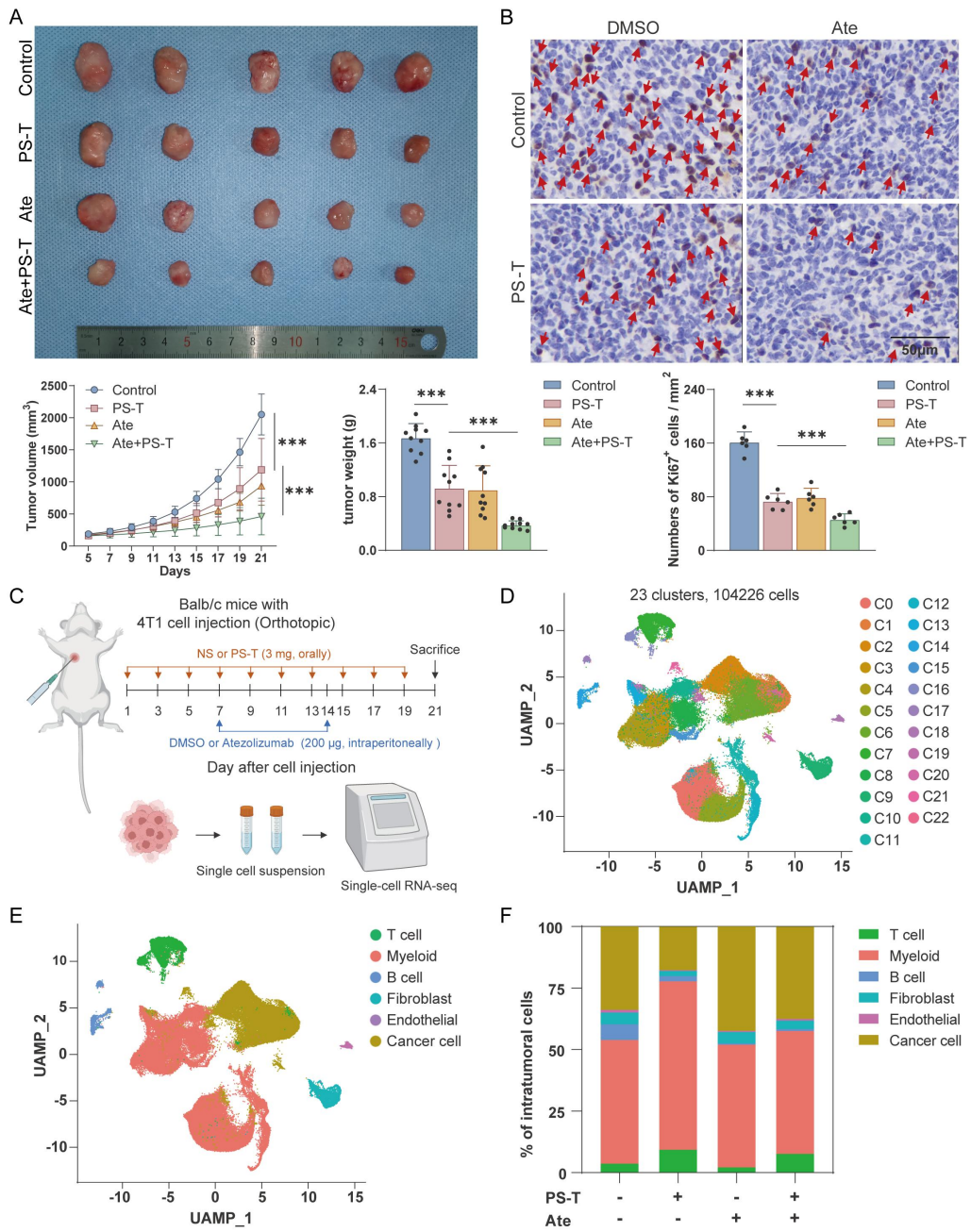
685 protein levels of PD-L1 and LC3 in the control, PS-T, 3-MA, and 3-MA+PS-T-treated tumors
686 (n=3). **(N-O)** The concentration of CD45⁺, DC, CD3⁺ T, CD4⁺ T, and CD8⁺ T cells, Tregs in
687 tumor tissues treated with PS-T (3 mg, every two days) and 3-MA (300 µg, every three days)
688 alone or in combination (n=10). **(P)** Percentages of IFN-γ and granzyme B in tumor-infiltrating
689 CD4⁺ T and CD8⁺ T cells in the untreated and PS-T-, 3-MA-, and 3-MA+PS-T-treated tumors
690 (n=10). The data were combined based on three (A-M) or two independent experiments (N-P).
691 (mean ± standard deviation; ns, not significant; **P* < 0.05; ***P* < 0.01; ****P* < 0.001).

692

693 **Fig. 7. Autophagic degradation of PD-L1 is associated with antitumor T cell responses**
694 **in TNBC patients**

695 **(A)** Immunohistochemical staining of LC3, PD-L1, CD4, CD8, and FoxP3 in TNBC patients.
696 IHC score of PD-L1 and numbers of CD4⁺ and CD8⁺ T cells and FoxP3⁺ Tregs were analyzed
697 statistically and are presented as a histogram (*bottom*) (n=3). Scale bars = 50 µm. **(B)**
698 Representative multicolor immunofluorescent staining images for LC3, PD-L1, CK, CD4, CD8,
699 and FoxP3 in LC3^{low} (*left*) and LC3^{high} (*right*) TNBC patients (n=10). Scale bars = 50 µm.
700 (mean ± standard deviation; **P* < 0.05; ***P* < 0.01; ****P* < 0.001).

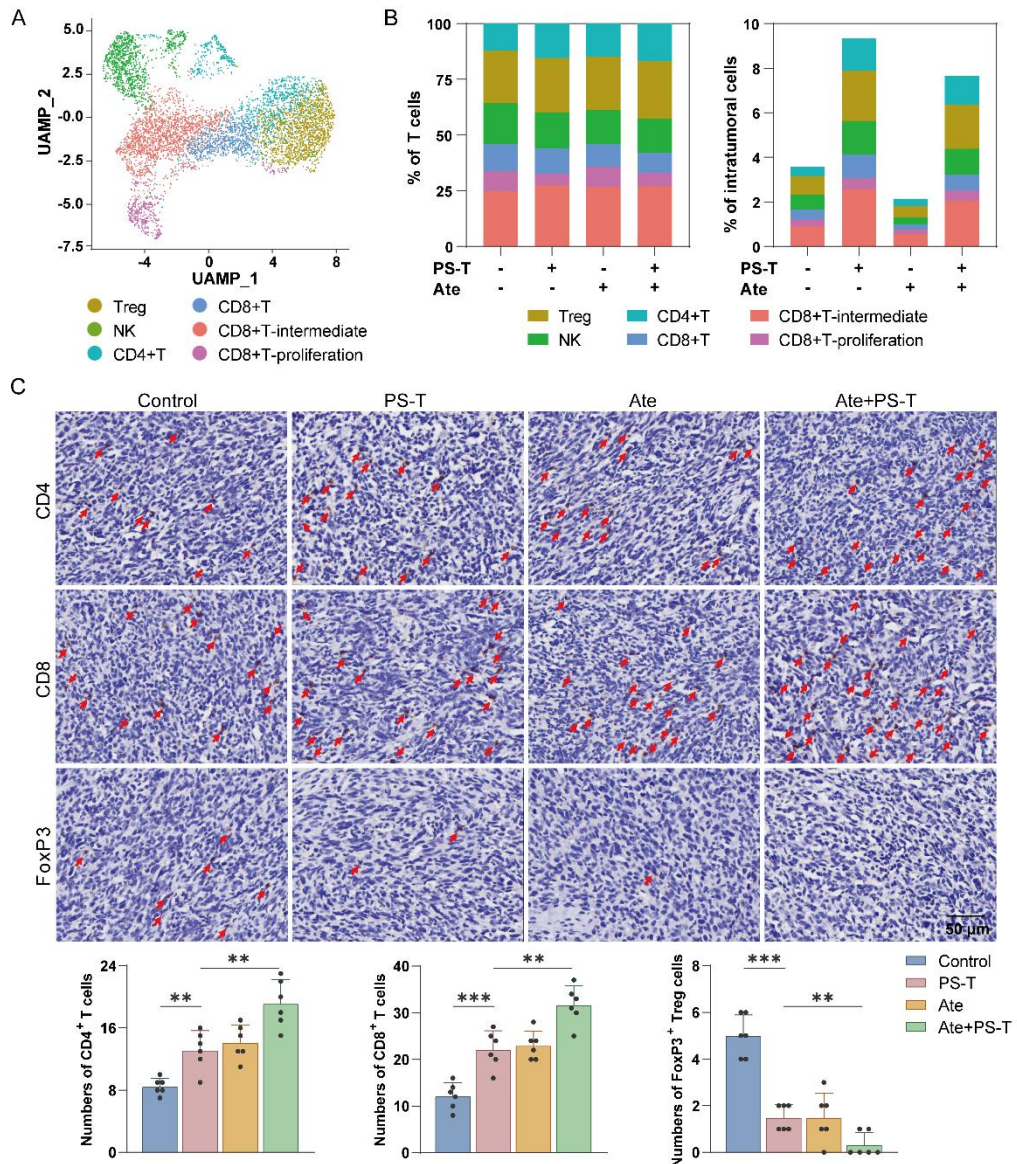
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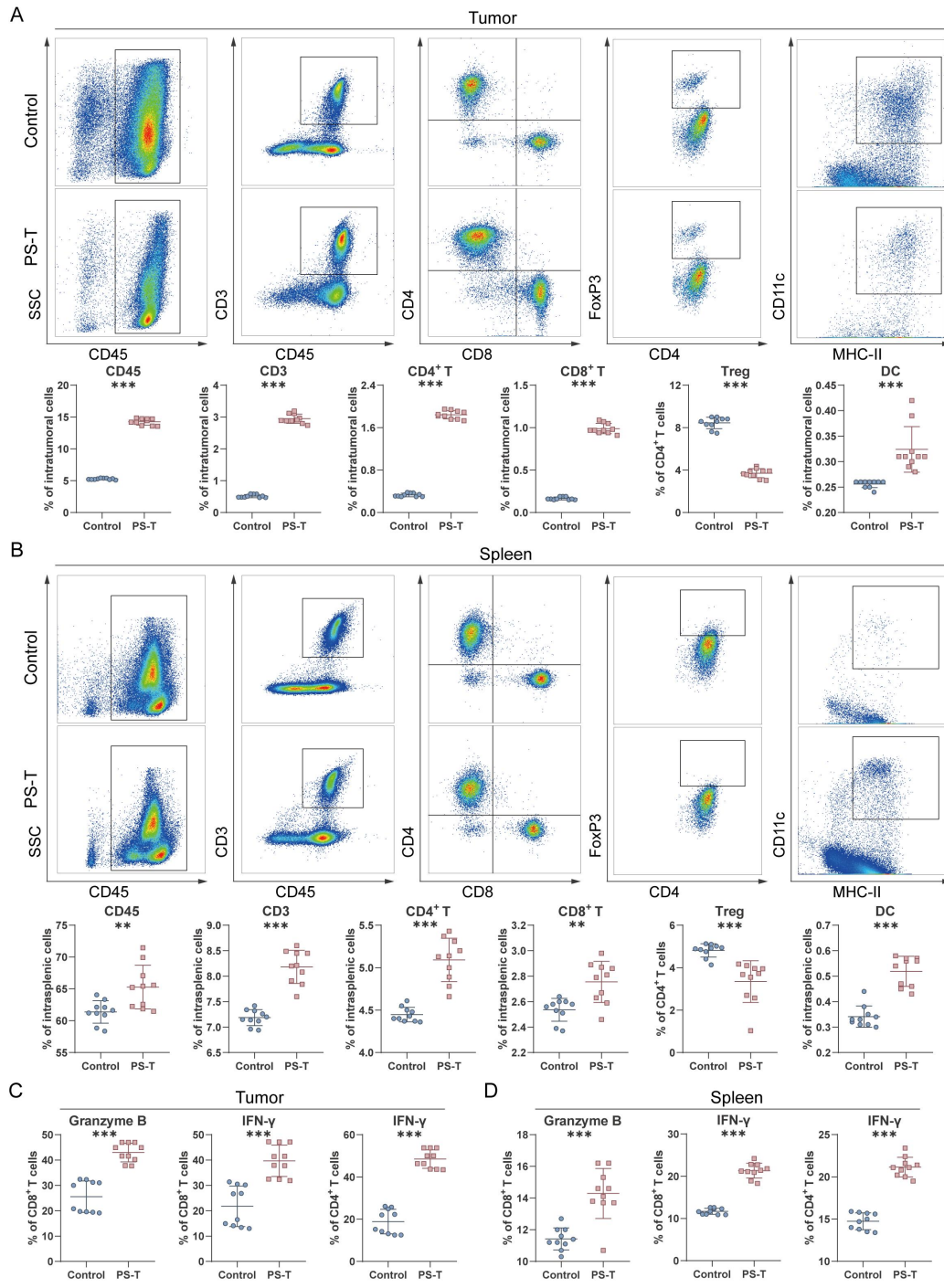
Fig. 1



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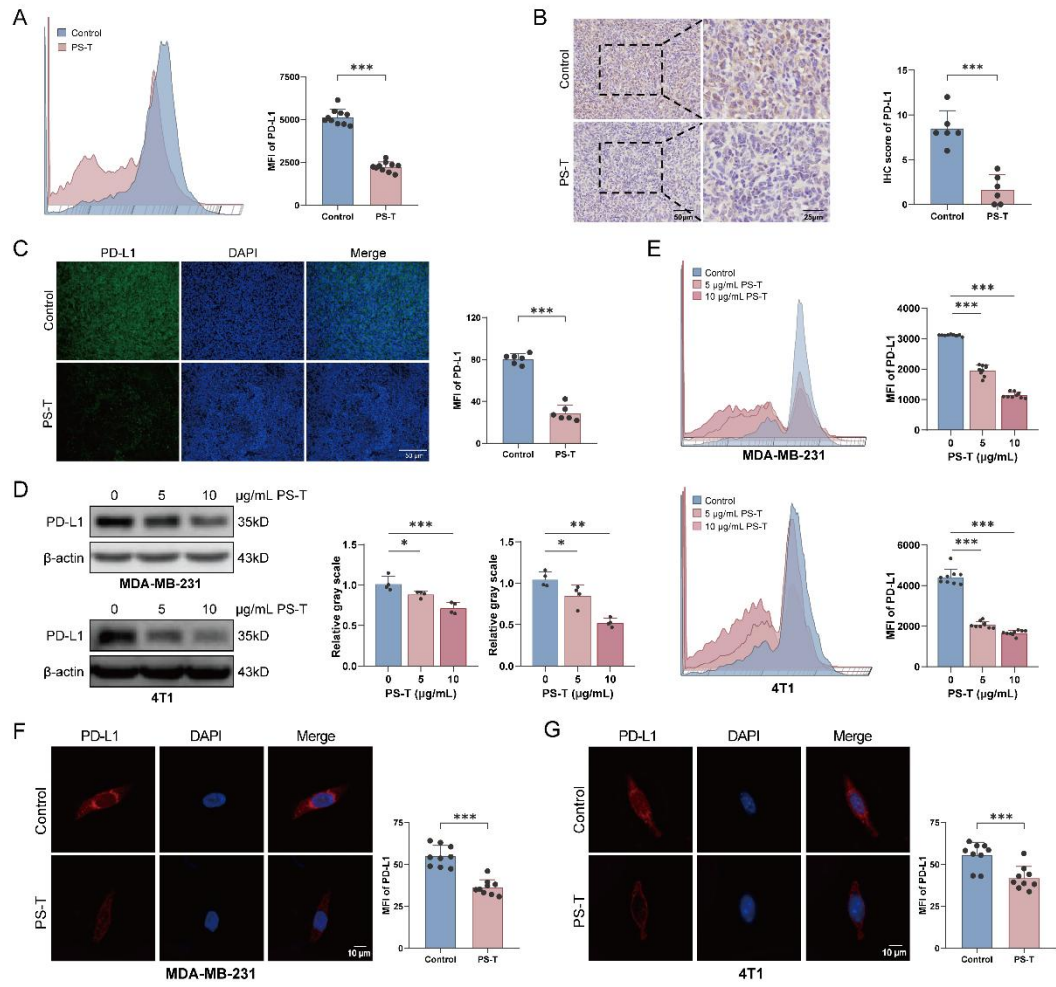
Fig. 2



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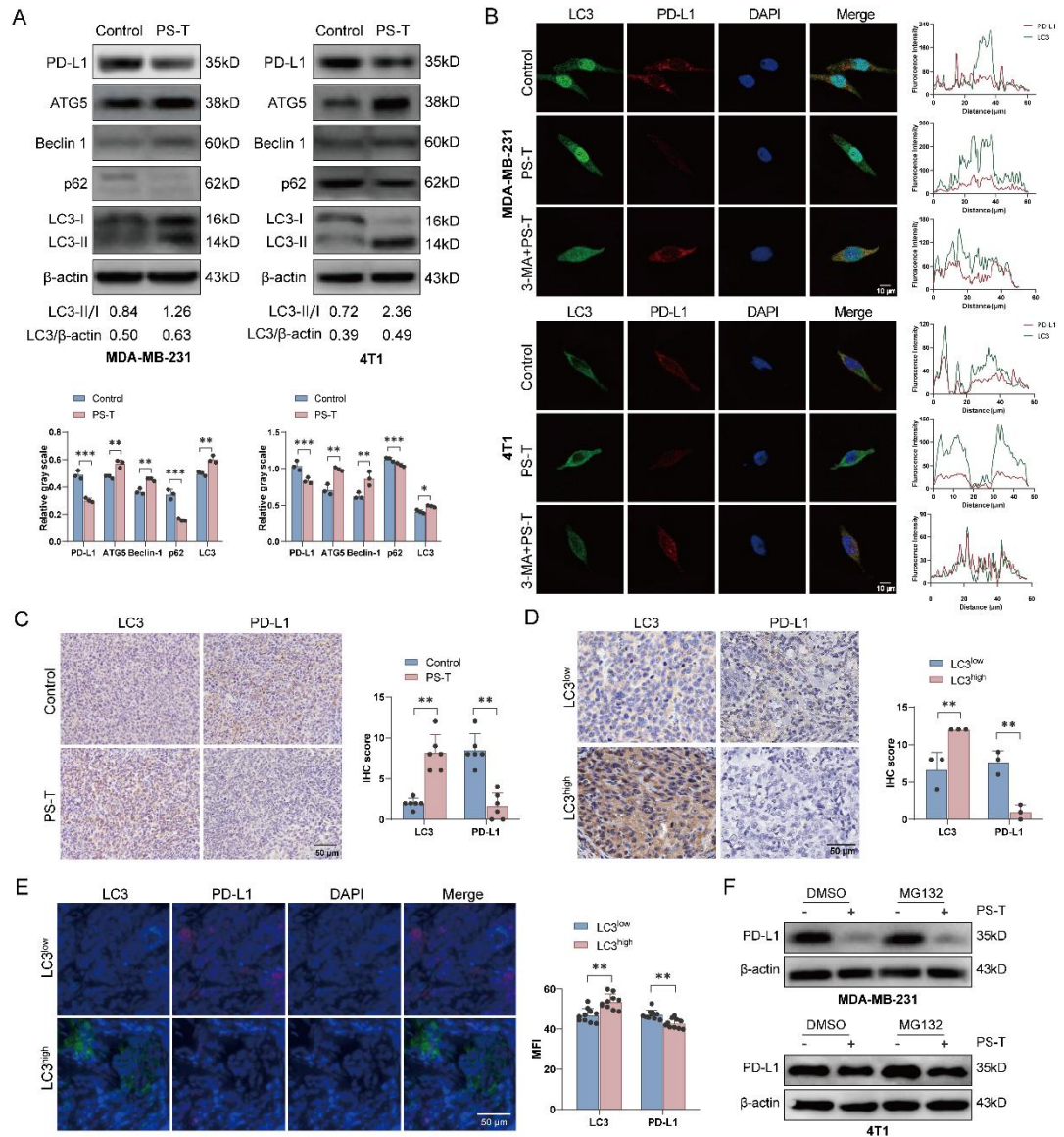
Fig. 3



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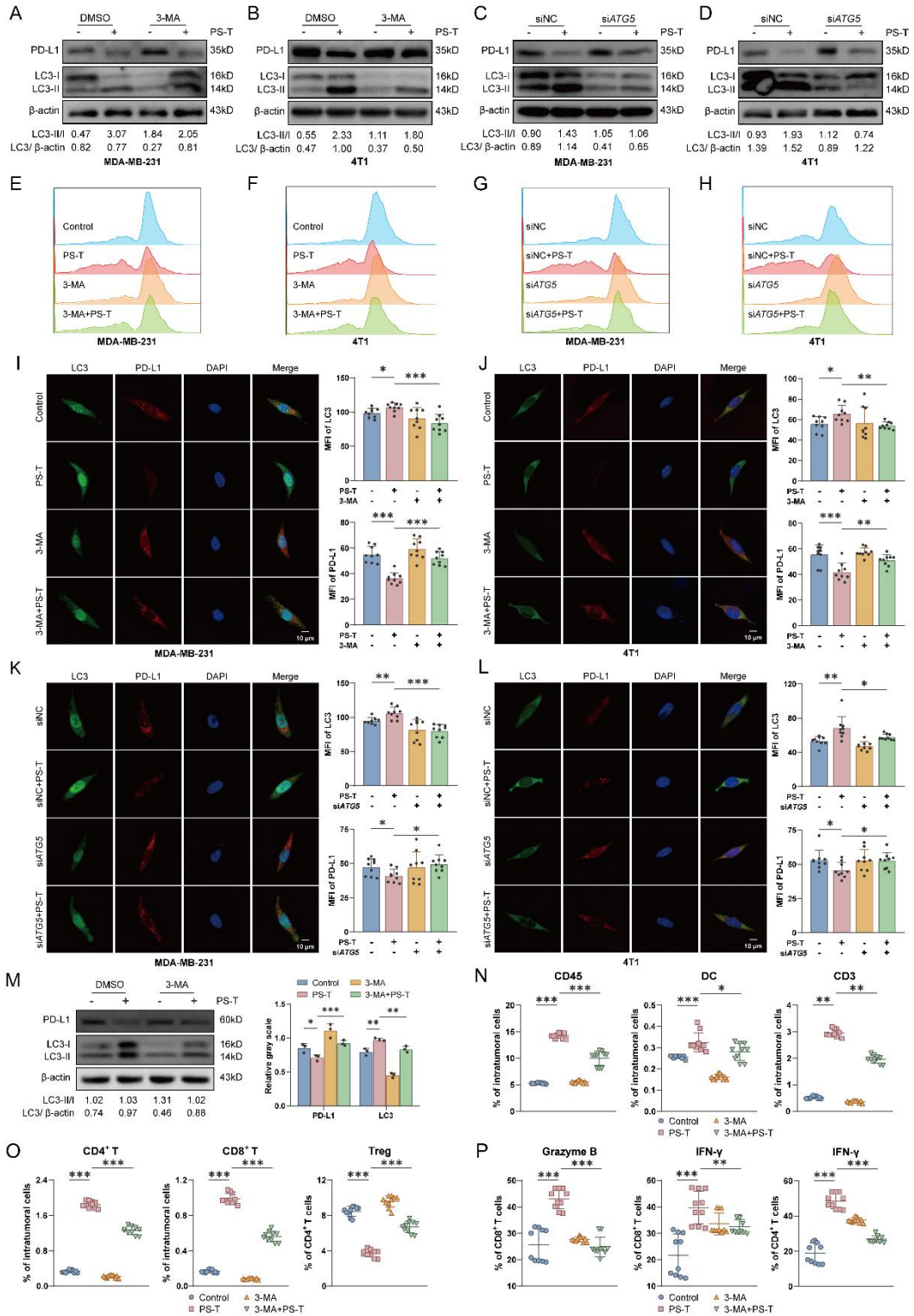
Fig. 4



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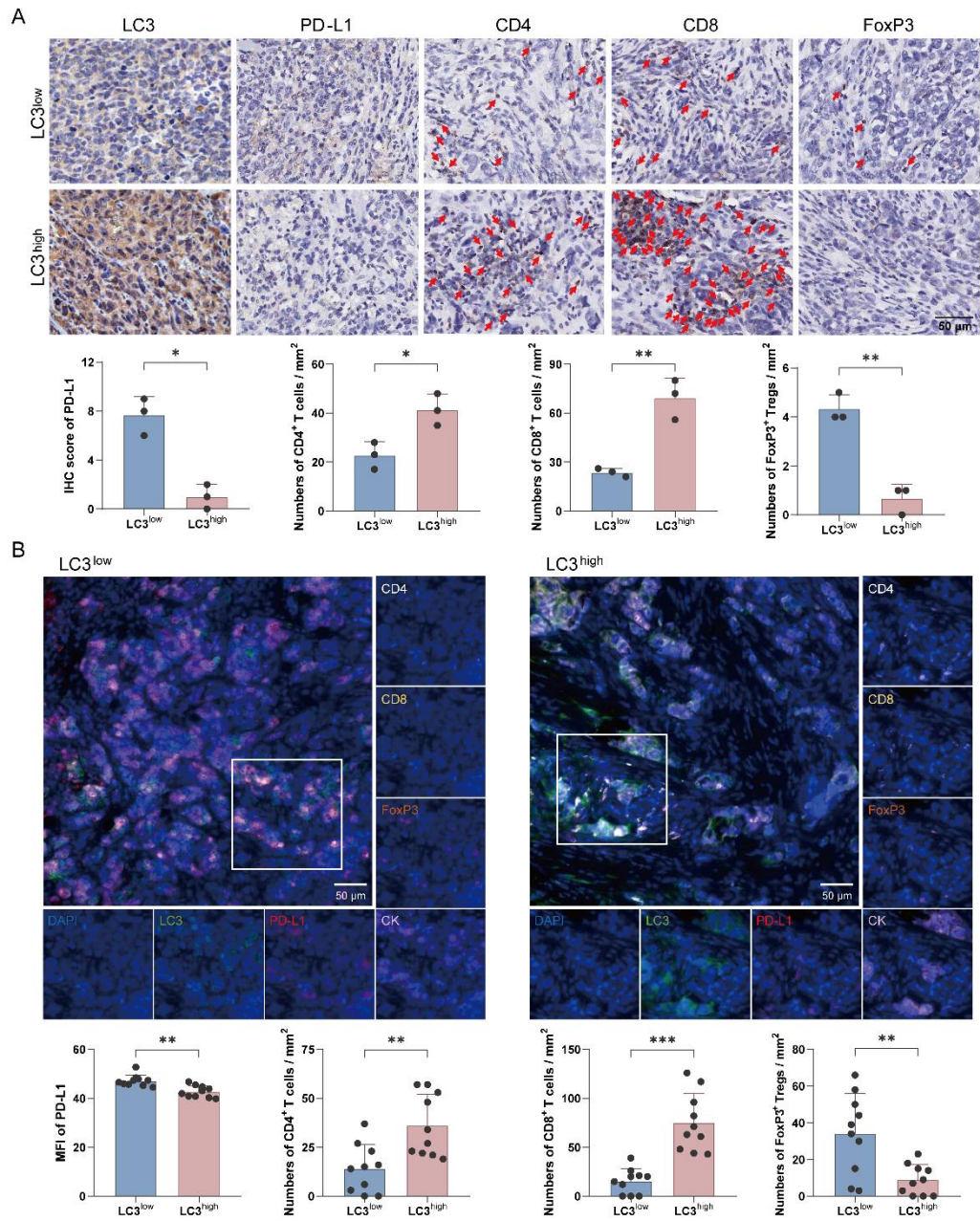
Fig. 5



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Fig. 6



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Fig. 7

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