

Research Paper

# A Sequential Segment Based Alpha-Helical Transmembrane Protein Alignment Method

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## Abstract

Alpha-helical transmembrane protein ( $\alpha$ TMP) is one of the two major categories of transmembrane protein (TMP). They are abundant existing in eukaryotic cells and involved in many biological processes. The special physicochemical properties, the structures of  $\alpha$ TMP are hard to be experimentally solved, but  $\alpha$ TMP's sequential segments are important to determine their conformations, so that TM-specific alignment is necessary to benefit their structure prediction. We used segment information extracted from topology structure and evolutionary information as features to implement a  $\alpha$ TMP Segment Alignment method (TMSA). The method was trained using one non-redundant dataset and tested using another non-redundant dataset. Comparing the results to a general alignment method HHalign, TMSA achieved higher alignment accuracy, and easier to recognize the fold of  $\alpha$ TMPs.

Key words: Transmembrane Protein; Segment Alignment; Topology

## Introduction

Alpha-helical transmembrane proteins ( $\alpha$ TMPs) play vital roles in many biological processes as transporters or receptors, they respond for transporting materials and signals between both sides of biological membrane. Therefore, they are major drug target currently[1], and related with many serious diseases[2], or exist as key nodes in their pathways. For the reason,  $\alpha$ TMPs become one of prime factor in disease treatments, they are the targets of most drugs currently on market[3].

Further understanding of  $\alpha$ TMPs structures is necessary to study their functions and biological mechanisms for drug design. But high-resolution  $\alpha$ TMP structures are hard to derive, the amount of known  $\alpha$ TMP structures in Protein Data Bank (PDB) are not more than 2%[4]. Facing the rapidly increasing sequences, alignment-based structure prediction methods are widely used to reduce the gap of amounts between the structures and sequences[5]. However, the methods applied to globular proteins

perform poorly on  $\alpha$ TMPs due to their special physicochemical properties. It was reported that the structure prediction is estimated to obtain the accuracy as high as that of globular proteins if the  $\alpha$ TMP alignment achieves the accuracy as its counterpart[6]. Due to the absence of such methods, a high accuracy  $\alpha$ TMP alignment is urgently needed.

Traditional sequence-to-sequence alignment methods, such as BLAST[7], cannot satisfy the high accuracy required, so that the sequence-to-structure alignment methods[8,9], also called threading, are widely used to model the protein structures. These state-of-the-art methods abstract many major structure-based features into the profile-to-profile alignments[10,11] to improve the accuracy, including the evolution information, solvent accessibility and the secondary structure. For the purpose to improve the alignment accuracy for  $\alpha$ TMP, these features must be taken reconsiderations, and more  $\alpha$ TMP-specific features are necessarily involved.

The  $\alpha$ TMPs are obviously different from the globular proteins in their conformation characteristics, they have one or more helices forming helical bundles to cross biological membrane. These transmembrane helices (TMHs) are more hydrophobic than those helices in globular proteins. Notably,  $\alpha$ TMPs in the same fold always have similar TMH numbers and the conformations. Here, TMH is the TM-segment on the protein sequence, the rest parts, namely, non-TM segments of sequence are divided to inside segment (existing in cytoplasmic) and outside segment (existing in extracellular) according to their locations relative to the biological membrane. Thus, the segments are the specific pattern of  $\alpha$ TMPs, which alternatively locate on the sequence regularly.

Topology prediction can be used to identify those segments for a  $\alpha$ TMP using its sequence as input. Various methods have been developed for the purpose, where, Hidden Markov Model (HMM) based methods achieved big success[12,13,14,15], while some other machine learning methods also showed the good performance[16,17,18,19,20]. To date,  $\alpha$ TMP topology prediction methods achieve high accuracy, which makes it possible applying segment types to the alignment as a TM-specific feature.

In this study, we firstly introduce the segment alignment to  $\alpha$ TMPs and implement the method  $\alpha$ TMP Segment Alignment (TMSA). Differing to the methods for globular proteins, our method employs the topology structure instead of secondary structure, so that the segments alignment can be applied. Since the solvent accessibility feature is used by topology prediction, and the alignment profile is composed of only two features: the evolution information and the segment information. Correspondingly, the scoring function is tailored for the segment alignment, in which an additional segment broken penalty is added to guarantee the completeness of the segments. Our method was compared to a general top-leading alignment method HAlign against a non-redundant dataset and derived higher alignment accuracy.

## Materials and Methods

### Training and Testing datasets

To test the method, we use a complete  $\alpha$ TMP dataset from Protein Data Bank of Transmembrane Proteins (PDBTM)[21], where totally 1366  $\alpha$ TMP are embodied. The bitopic  $\alpha$ TMPs or those  $\alpha$ TMPs shorter than 50 amino acids were removed, the left entries were clustered using BlastClust with identity less than 30 mutually. The top two non-redundant clusters were selected as training dataset and testing dataset

(see in Supplementary Table S1), respectively compose of 58 and 72 non-redundant entries, these two sets have no overlaps.

### Selected Features

The selected features are utilized by the scoring function to determine the compatibility between any two residues which come respectively from target and template. Of course, the more features compatible, the more likely they are aligned. As mentioned, segment and evolution information are selected, the two features are compact and complementary with each other. The details about them are described as following.

**Segment Information:** The segment information are derived by MEMSAT-SVM[18], one of the best topology predictors using a support vector machine (SVM) which has been widely used in bioinformatics[22,23,24,25] to identify segments on the input sequence. MEMSAT-SVM is a stand-alone tool to predict  $\alpha$ TMP's topology structure, a protein sequence is required as input, and an isometric sequence is output as predicted topology structure, in which only three characters are used to label the topology structure type for each sequential position corresponding the input protein sequence, e.g. 'M' is TM-segment residue, 'i' is inside segment, and 'o' is outside segment. Thus, each residue  $i$  on the sequence is assigned to an integer value which represents the segment type:

$$TP(i) = \begin{cases} 0, & \text{TM Segment} \\ 1, & \text{Inside Segment} \\ 2, & \text{Outside Segment} \end{cases} \quad (1)$$

**Evolution Information:** Position Specific Scoring Matrix (PSSM) profile generated by PSI-BLAST[7] derives the evolutionary conservation of sequence positions based on large-scale sequence alignment, which has had a significant impact on protein fold recognition[26]. The evolution information is useful to the alignment both for TM and non-TM segments. A PSSM profile  $pm[i, j]$  is a  $n \times 20$  matrix, where the  $n$  represents the sequence length. Each element in  $pm[i, j]$  negatively represents the frequency of the residue type  $j$  at position  $i$ .

### TMSA Method

The dynamic programming (DP) is the most popular paradigm in computational biology[27], also the heart of many well-known programs is DP[28]. As known, the scoring function is the kernel of the dynamic programming, which drives the DP forwarding the global optimal result. In this study, the scoring function is tailored for the  $\alpha$ TMP segment alignment, especially the scoring for gap penalty. As the result, the optimal path of the DP will be slightly

different with that of other general methods.

1) Fitness Scoring: As a part of scoring function, the fitness score  $Fitness(i, j)$  evaluates the compatibility between target sequence position  $i$  and template sequence position  $j$ , is given by the equation,

$$Fitness(i, j) = -w_1 SEG(i, j) + w_2 EV(i, j) + w_{shift} \quad (2)$$

where the  $SEG(i, j)$  is the segment fitness score of the two positions, and the  $EV(i, j)$  is their fitness score of evolution conservation,  $w_1$  and  $w_2$  are the weights of two fitness scores, while  $w_{shift}$  is a to-be-determinate constant that avoids the unrelated residues aligned[29]. The segment fitness score is defined below:

$$SEG(i, j) = \begin{cases} 2, & \text{if } TP(i) = TP(j) = 0 \\ 1, & \text{if } TP(i) = TP(j) \neq 0 \\ -1, & \text{else} \end{cases} \quad (3)$$

The evolution fitness score is calculated according to the equation

$$EV(i, j) = \sum_{k=0}^{20} (pm_{target}[i, k] \times pm_{template}[j, k]) \quad (4)$$

where  $pm_{target}[i, k]$  is PSSM value of residue type  $k$  at position  $i$  on the target sequence, as well  $pm_{template}[i, k]$  follows the similar meaning.

2) Gap Penalty Scoring: A segment-dependent gap penalty is employed. Satisfying the segment alignment, the TM segments and non-TM segments are respectively denoted the different open gap

penalties  $op_{tm}$ ,  $op_{non-tm}$  and extended gap penalties  $ep_{tm}$ ,  $ep_{non-tm}$ , so that the TM segments are harder to be broken during the aligning.

3) Dynamic Programming: We use a local-global algorithm to optimize the alignment path for the requirement of segment alignment. With the scoring function above, the DP procedure of TMSA can find the reasonable alignment path. The segments with the same type are aligned preferentially, while different segment types are hard to match unless they are extremely compatible with the evolutionary conservation. Assuming a target protein A has a topology structure shown as the top in the Fig. 1, while the topology of template protein B shows in the left, it is hard to align correctly without the guiding of segment types, because the properties of TM segments are very similar, such as sequence patterns and solvent accessibilities. But our DP algorithm conquers the problem which can be found by the alignment path shown in the figure. The second TMH of target, but not the first one, aligns to the first TMH of template due the mismatch of their previous non-TM segments. Therefore, our DP algorithm is designed for the  $\alpha$ TMP segment alignment.

4) Parameterizations: All the parameters used in the scoring function ( $w_1, w_2, w_{shift}, op_{tm}, op_{non-tm}, ep_{tm}, ep_{non-tm}$ ) are trained using the same method as on our non-redundant training dataset[30], but the parameters are optimized according to the best TMScore[31].

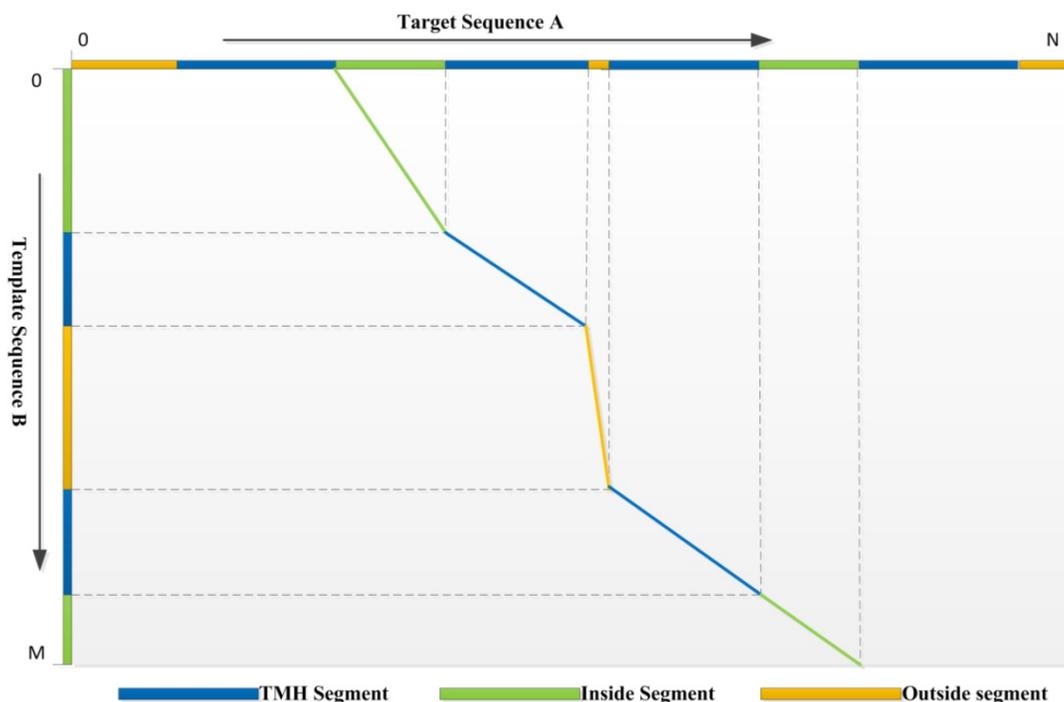


Figure 1. The optimized alignment path derived by the dynamic programming algorithm. The aligned segments are marked using the polylines with the corresponding colors.

## Results and Discussions

We pairwise aligned all the protein pairs in the testing dataset, by which the performance of the TMSA is evaluated, due to the absence of  $\alpha$ TMP alignment methods, the results are compared to a general alignment method HHalign. And then the correlation between the output rawscore of TMSA and the protein structures will be further discussed to show its ability for the final purpose.

### Alignment Accuracy

The alignment accuracy can be evaluated by two different benchmarks, the accuracy rate and structure similarity, we adopted the both benchmarks to show the experimental results. The first one (ACC) uses the golden standard structure alignment to count the rate of correctly aligned residues, here TAlign[32] is employed as the golden standard. The second one simply calculates the structure similarity between the aligned pair, and various methods can be applied for the purpose. We use the TMscore due to its high performance and accuracy.

To present the performance of TMSA, the alignment accuracies of both TM segments and non-TM segments are calculated respectively, as well the overall performance is exhibited aside. As shown in Table 1, the comparison is made using the average alignment accuracy derived by all the testing pairs. The results show that, TMSA achieves higher accuracies than HHalign with all the three segment types, whatever which evaluation method is used, so the two criteria are consistent to present the alignment accuracy. It is almost 10 percent that TMSA over-performs the HHalign with TMscore, and the margin enlarges to 11 percent with ACC. In addition, TMSA achieves the even higher accuracy aligning TM segments than non-TM segments, while the gap shown in the results of HHalign is inconspicuous. The reason mainly because our method adopts the stricter strategies to guarantee the TM segments to be better aligned, while the non-TM segments are easier to be broken inserting gaps. Nevertheless, the alignment for non-TM segments is still more accurate of TMSA comparing to its counterpart.

**Table 1.** Alignment accuracies comparing with HHalign

Methods	ACC (%)			TMscore		
	T	N	O	T	N	O
TMSA	64.5	59.6	62.1	0.463	0.414	0.436
HHalign	51.9	52.6	51.7	0.355	0.338	0.342

T: TM segments, N: Non-TM segments, O: Overall

Comparing the features used in the two alignment methods, the major difference is the TMSA employs the topology structures instead of secondary

structure and solvent accessibility. For  $\alpha$ TMPs, topology structure more clearly presents the overall structural properties, and indicates their conformations. Furthermore, the sequence pattern of  $\alpha$ TMP is much especial and regular. Therefore, the segment alignment method can enormously improve the accuracy. With the increasing quantity of  $\alpha$ TMPs, the topology prediction will be more accurate, which can further derive the segment alignment methods.

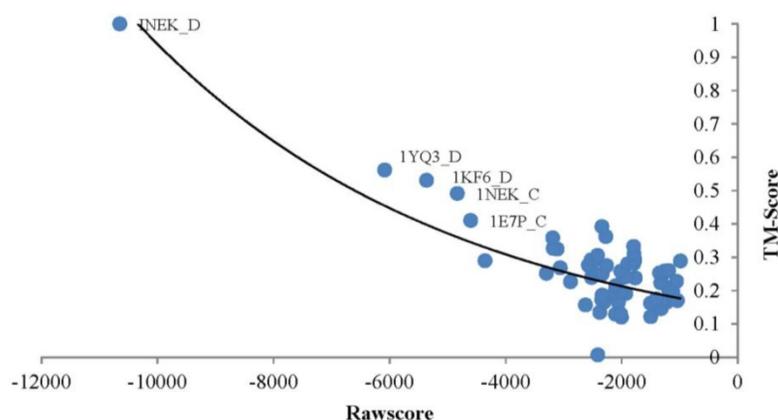
### Alignment Scores and Structure Similarity

TMSA generates a rawscore for each alignment which negatively relates to the structure similarity of the target and template. The proteins obtained smaller rawscore are more possible to have similar conformations. As an example, the Fig. 2 points out the rawscores derived by chain D of query protein Succinate Dehydrogenase (PDB\_ID: 1NEK:D)[33] aligned with all proteins in the testing dataset. The most left top point responses to the query protein aligned to itself, so that it obtained the best TMscore value of 1.0, which means the aligned proteins are completely matched with the tertiary structures. As well it obtained the smallest rawscore. The rest templates basically ordered regularly by their rawscores and TMscores, where the lower TMscore responds to bigger rawscore. However, the points distribute in the right-bottom of the diagram are too intensive to present such correlations, because the corresponding proteins are much different in conformation with the query protein. With this case, rawscores derived by TMSA are showed negatively correlated with the structure similarities, thus the protein fold can be recognized by ranking the rawscores of the templates.

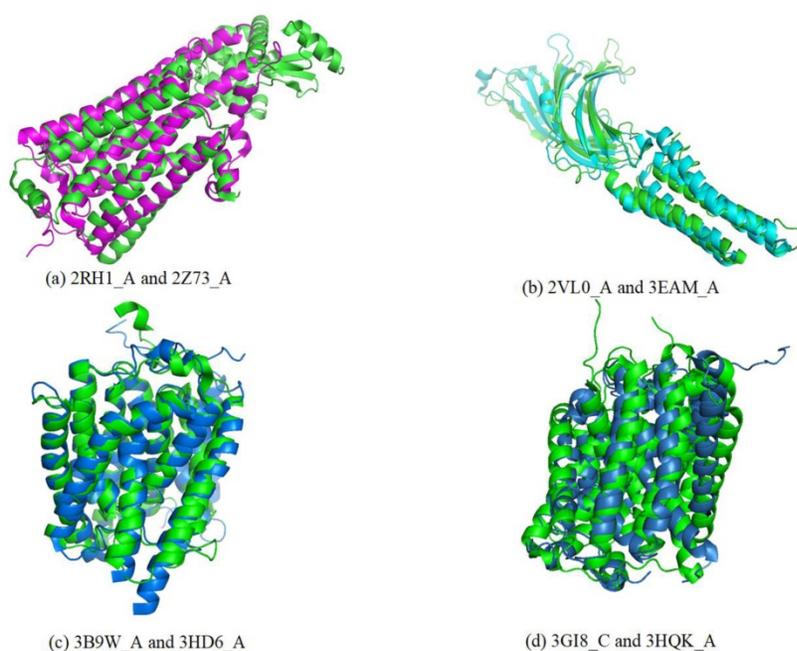
### Fold Recognition in Superfamily level

Since the superfamily indicates the closer structures than the fold, to better present the alignment accuracy, we adopted the classifications found in Orientations of Proteins in Membranes (OPM) database[34]. Because several  $\alpha$ TMPs have not been classified to any superfamily, only a few super families exist in the testing set. The TMSA can recognize the entries which belong to the same superfamily by ranking the alignment rawscores. It is most important to select the high-quality templates for protein structure modeling, where those templates must have the similar structures to the target proteins, so that structure modeling step could compose the structure-unknown target protein by those structure-known template proteins.

For a given target, the smaller rawscore indicates the responding template is more similar to the target in the structure. Therefore, the templates that have



**Figure 2.** Correlation between the rawscore and structure similarity of 1NEK\_D.



**Figure 3.** Entries found in the same superfamily using the TMSA.

significant smaller rawscores than the average for a target could be the same fold. As shown in Figure 3, several entries were found in the same superfamily using the TMSA. The Figure 3 (a) shows the entries come from superfamily G-protein coupled receptors (ID: 1.1.01.02); the entries in Fig. 3 (b) belong to superfamily pentameric ligand-gated ion channels (ID: 1.1.26); the entries in Fig. 3 (c) and (d) are respectively from superfamily ammonia and urea transporters (ID: 1.1.19) and ligand/cation symporters (ID: 1.1.18). The superposed parts between the proteins are the aligned parts. It can be found that some non-TM segment are not matched in the four pairs, or even the TMHs, but they have overall similar conformations, which is the most important criteria to classify the TMPs.

## Conclusions

This article describes a novel sequence-to-structure alignment method tailoring for  $\alpha$ TMP, TMSA, in which the segment alignment is firstly introduced to the study. To the end, the topology structures are employed in the method to describe the proteins' conformations instead of the secondary structures and solvent accessibilities that widely used for globular proteins alignment. Evolutionary information derived from sequence is used with the segment information to scoring the compatibility between two sequence positions, and a segment-dependent gap penalty has been applied in scoring function. We trained the method using a non-redundant dataset, and then pairwise aligned all pairs of protein in our testing dataset, which has no overlaps with training dataset. The testing results shows the method performs well for  $\alpha$ TMP comparing to a top-leading alignment tool HAlign, and high structure similarity have been shown between the aligned pairs. The raw score generated by the method is proved negatively correlating to the structure similarity of the templates, which indicates that the method can be used in fold recognition of  $\alpha$ TMP.

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## Supplementary Material

Table S1. <http://www.ijbs.com/v14p0901s1.pdf>

## Competing Interests

The authors have declared that no competing interest exists.

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